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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hiroyuki OHTA**

Group Art Unit: **2818**

Application Number: **10/721,080**

Examiner: **Long K. Tran**

Filed: **November 26, 2003**

Confirmation Number: **7846**

For: **SEMICONDUCTOR DEVICE HAVING STI WITHOUT DIVOT AND
ITS MANUFACTURE**

Attorney Docket Number: **032117**

Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

November 2, 2006

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non - U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.


Information Disclosure Statement under 1.97(c)(1)/(e)(1)
Attorney Docket No. 032117
Application No. 10/721,080

Each listed document was first cited in a communication from a foreign patent office and the communication was not received by any person defined in 37 C.F.R. §1.56(c) more than 30 days before filing of this paper.

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Respectfully submitted,

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Enclosures: PTO/SB/08
Foreign Communication
Document(s)



Combined Form PTO/SB/08A&B <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> (use as many sheets as necessary)				Complete if Known	
				Application Number	10/721,080
				Confirmation Number	7846
				Filing Date	November 26, 2003
				First Named Inventor	Hiroyuki OHTA
				Art Unit	2818
				Examiner Name	Long K. TRAN
Sheet	1	of	1	Attorney Docket Number	032117

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
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		US			
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		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
	1.	JP	2000-269510		09-29-2000	SEMICONDUCTOR ENERGY LAB CO LTD	Abstract
	2.	JP	05-062971		03-12-1993	FUJI ELECTRIC CO LTD	Abstract
	3.	JP	01-176067		07-12-1989	HOYA CORP	Abstract
	4.	JP	64-075664		03-22-1989	AGENCY OF IND SCIENCE & TECHNOL et al.	Abstract
	5.	JP	63-316442		12-23-1988	SANYO ELECTRIC CO LTD	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	6.	English Translation of Japanese Office Action dated October 3, 2006 (mailing date), issued in corresponding Japanese Patent Application No. 2002-376009.	Full

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.